

GAU 2006 2176

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Signature

Applicant

Henry C. Yuen

Application No.:

09/449,887

Filed

November 30, 1999

Title

SMART AGENT BASED ON HABIT, STATISTICAL

INFERENCE AND PSYCHO-DEMOGRAPHIC

PROFILING

Grp./Div.

2776

Examiner

To be assigned

Docket No.

36524/RRT/I148

INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION UNDER § 1.97(e)(1)

Assistant Commissioner for Patents Washington, D.C. 20231

Post Office Box 7068 Pasadena, CA 91109-7068 March 13, 2001

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is a copy of the International Preliminary Examination Report dated March 1, 2001, for International Application No. PCT/US99/28335.

Applicant's undersigned attorney hereby certifies, in accordance with 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was first cited

Application, No.09/449,887

in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

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Raymond R. Tabandeh

Reg. No. 43,945 626/795-9900

RRT/dsz

Enclosure: International Preliminary Examination Report

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